

# From Schwartz Distributions to Control and Evolutive Systems

by

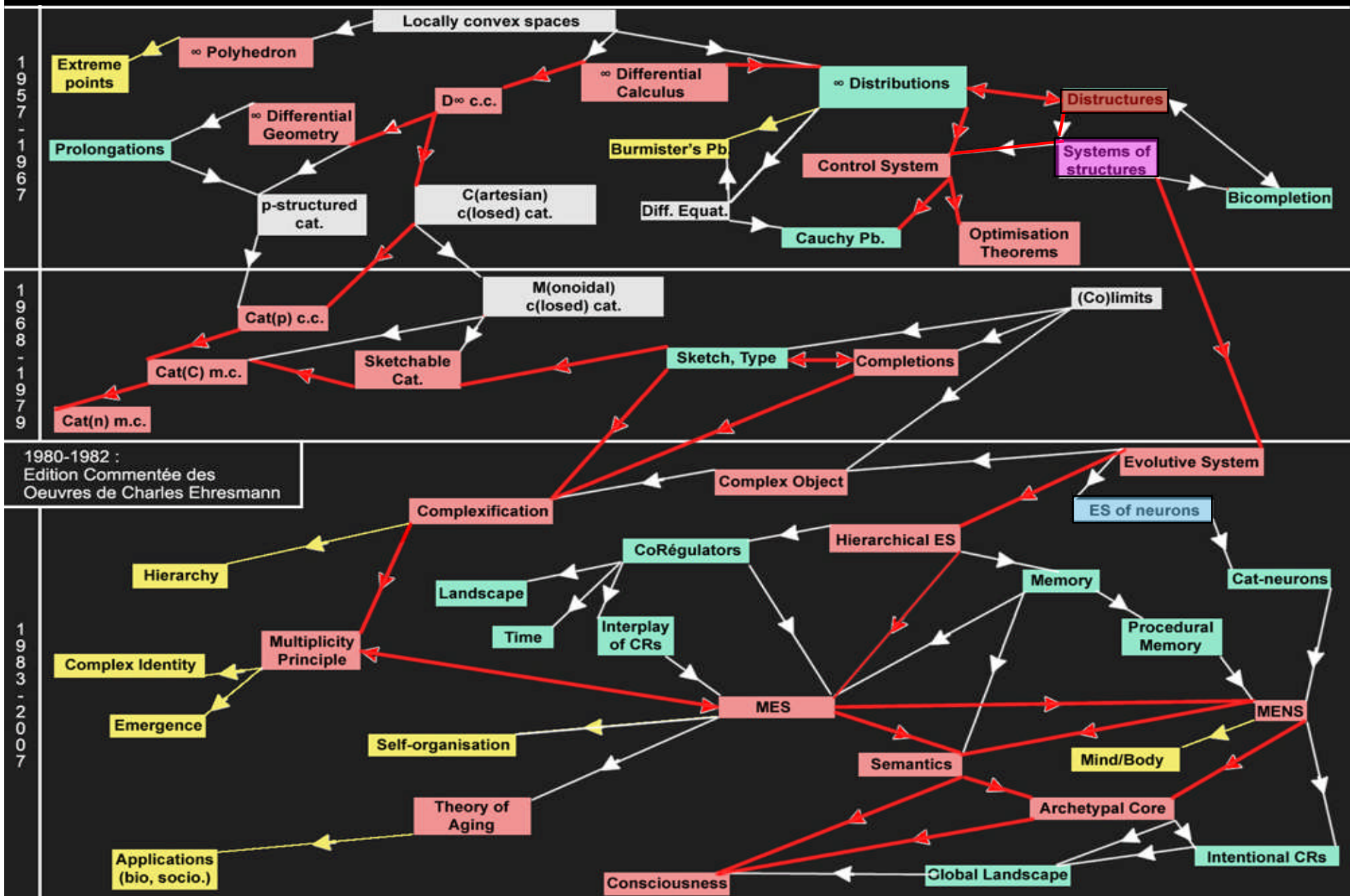
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# DIAGRAM OF MY RESEARCH WORK



## **PART 1: SEMI-SHEAVES**

**1.1. SEMI-SHEAVES OF SETS**

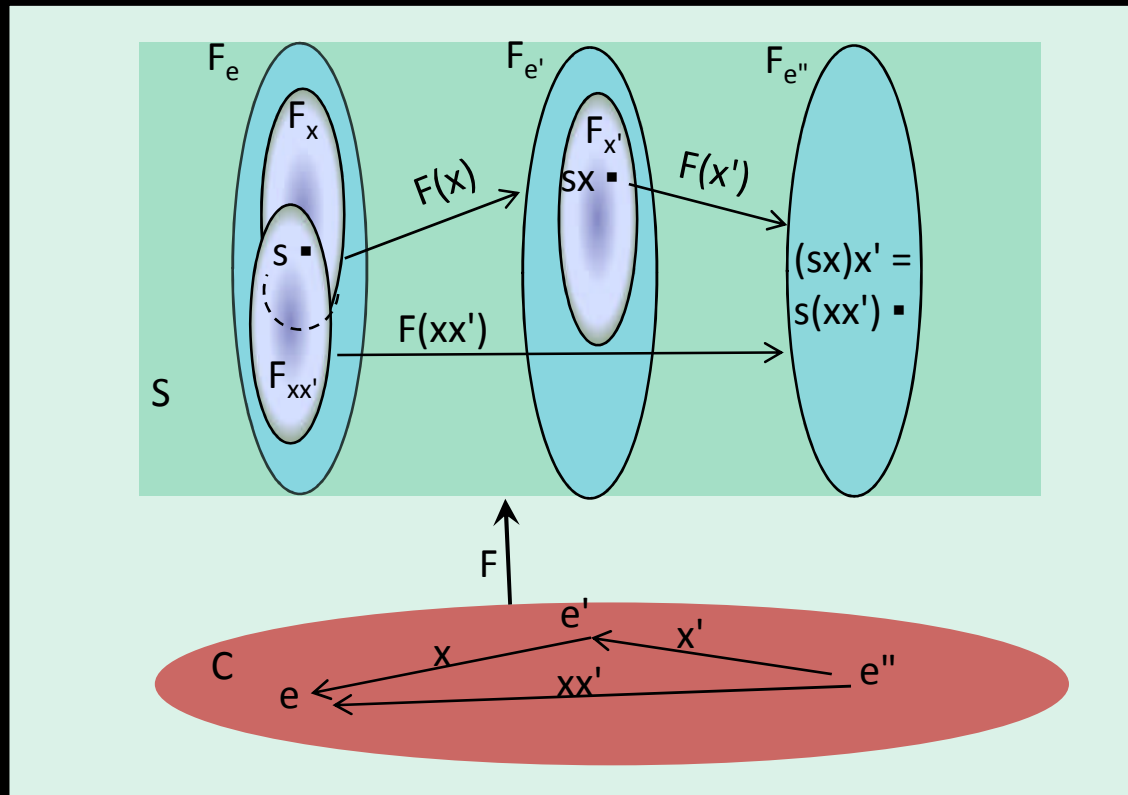
**1.2.  $(K, M)$ -SEMI-SHEAVES**

**1.3. THE CATEGORY  $SS(K, M)$**

**1.4.  $K$ -PRESHEAFIFICATION OF A  $(K, M)$ -SEMI-SHEAF**

**1.5. LOCALLY CONVEX SEMI-SHEAVES**

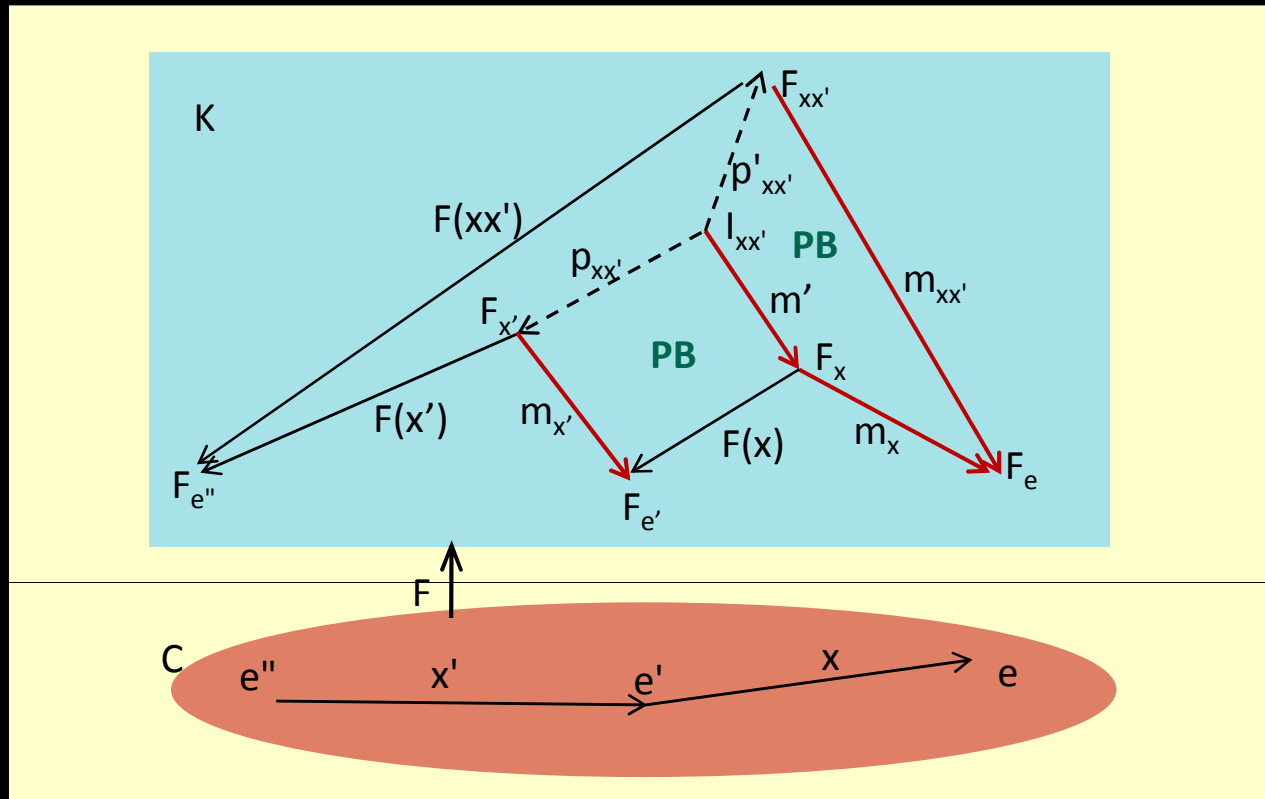
# 1.1. SEMI-SHEAVES OF SETS



A *semi-sheaf (of sets)*  $F$  on  $C$  is a map from  $C$  to  $\text{Set}$  which associates to an object  $e$  of  $C$  the fibre  $F_e$  on  $e$ , to an arrow  $x$  from  $e'$  to  $e$  a map  $F(x)$  from a sub-set  $F_x$  of  $F_e$  to the fibre on  $e'$ , and satisfies the 'transitivity condition': if  $s$  is in  $F_x$  then  $sx = F(x)(s)$  is in  $F_{x'}$  if and only if  $s$  is also in  $F_{xx'}$ , and then  $s(xx') = (sx)x'$ .

We also call the map  $(x, s) \mapsto sx$  if  $s$  is in  $F_x$  a *semi-action* of  $C^{\text{op}}$  on the disjoint union  $S$  of the fibers. (The *system of structures* of  $C$ . Ehresmann are a slightly more general notion.)

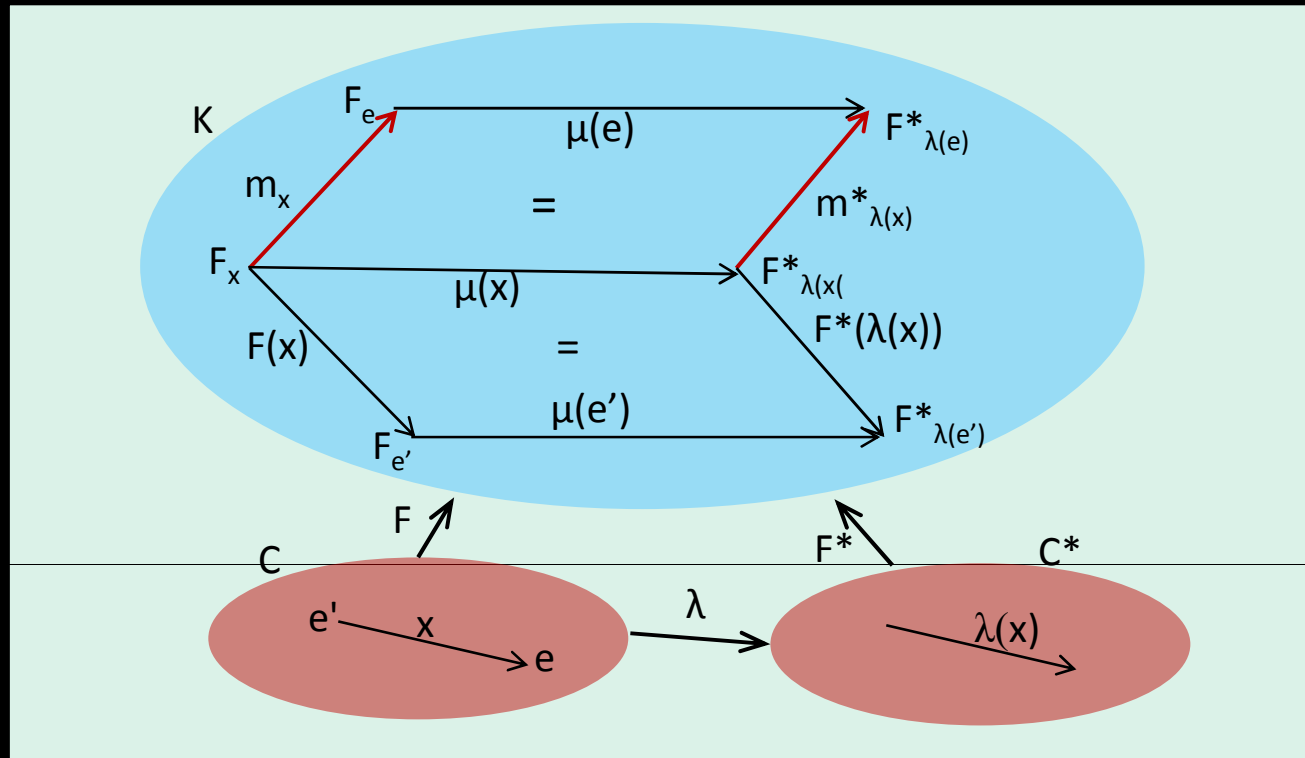
## 1.2. (K, M)-SEMI-SHEAVES



$K$  is a category and  $M$  a class of monomorphisms (in red on the figure) of  $K$  containing the identities, stable by pullbacks and with at most one  $m$  between 2 objects. A  $(K, M)$ -semi-sheaf  $F$  on  $C$  is a map from  $C$  to  $K$  such that:

1.  $F(e)$  is an object  $F_e$  for each object  $e$  of  $C$ .
2. For  $x: e' \rightarrow e$ ,  $F(x): F_x \rightarrow F_e$  where  $F_x$  is an object such that there exists an  $m_x: F_x \rightarrow F_e$  in  $M$ .
3. '*Transitivity condition*': for  $x': e'' \rightarrow e'$  in  $C$ , the pullback  $l_{xx'}$  of  $(m_{x'}, F(x))$  is also the pullback of  $(m_x, m_{xx'})$  and  $F(xx')p_{xx'} = F(x')p'_{xx'}$  where  $p_{xx'}$  and  $p'_{xx'}$  are projections of the PB's.

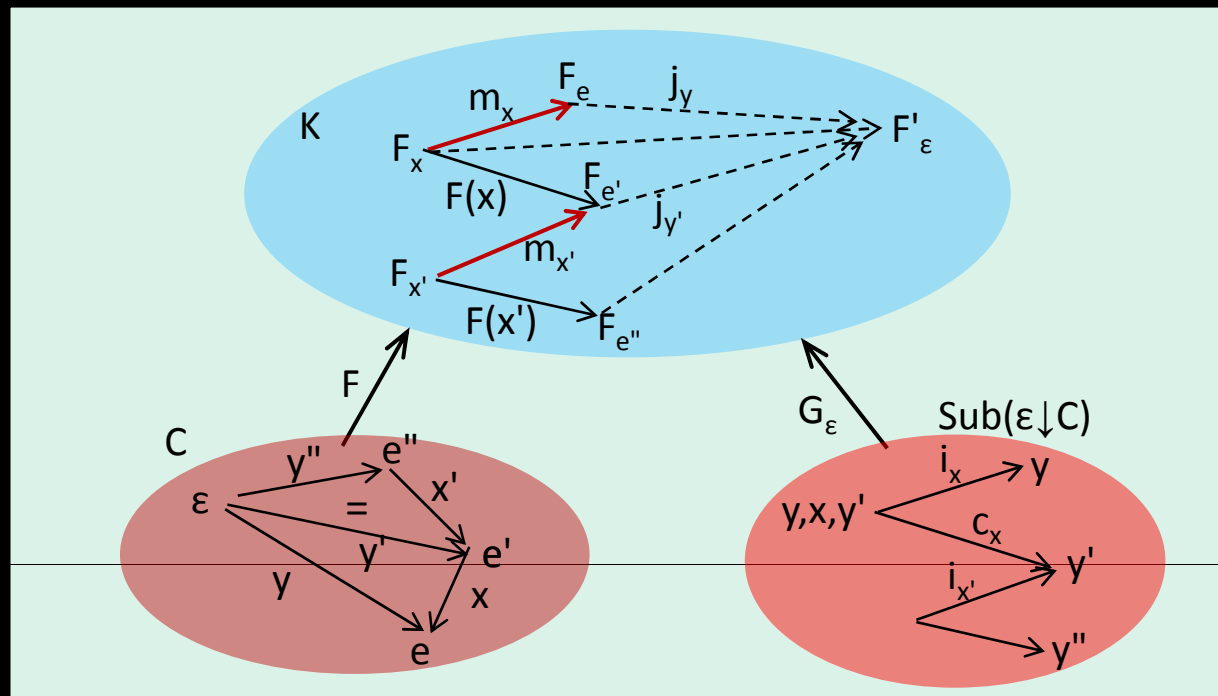
### 1.3. THE CATEGORY $SS(K, M)$



If  $F$  is a  $(K, M)$ -semi-sheaf on  $C$  and  $F^*$  a  $(K, M)$ -semi-sheaf on  $C^*$ , we define a morphism  $(\lambda, \mu): F \rightarrow F^*$  by a functor  $\lambda$  from  $C$  to  $C^*$  and a map  $\mu$  from  $C$  to  $K$  making commutative the above diagrams. The category  $SS(K, M)$  admits as a full subcategory the category  $PS(K)$  of  $K$ -presheaves.

**Examples.** I have used particular (Top, open ins.)-semi-sheaves, called *nuclei of actions*, to study control problems for differential equations. The Evolutive Systems (defined with J.P. Vanbremeersch for modeling living systems) are (Cat, subcat)-semi-sheaves.

## 1.4. K-PRESHEAFIFICATION OF A (K, M)-SEMI-SHEAF



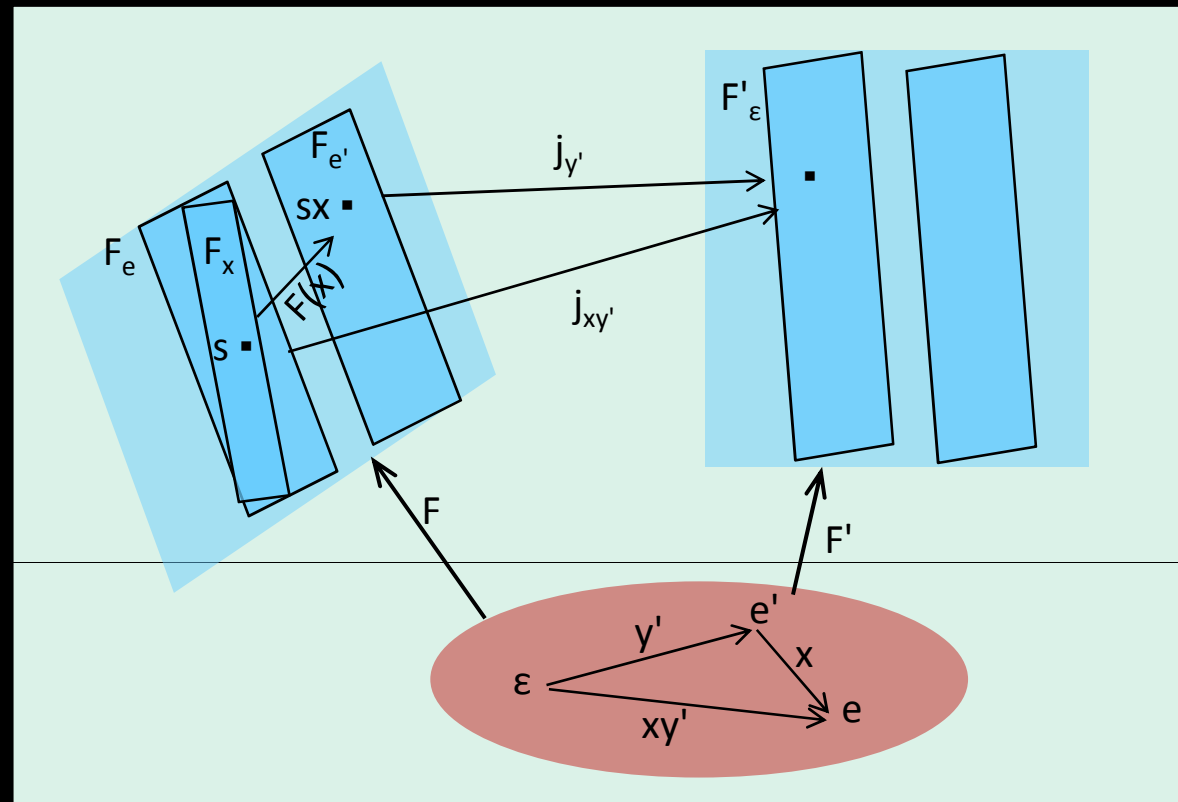
**Associated Presheaf Theorem.** *If  $K$  admits colimits, the category  $PS(K)$  of  $K$ -presheaves is a reflective subcategory of the category  $SS(K, M)$ .*

The  $K$ -presheaf  $F'$  associated to the  $(K, M)$ -semi-sheaf  $F$  is explicitly constructed: its fiber  $F'_\varepsilon$  is the colimit of the functor  $G_\varepsilon: \text{Sub}(\varepsilon \downarrow C) \rightarrow K$  where  $\text{Sub}(\varepsilon \downarrow C)$  is the subdivision category of the category  $\varepsilon \downarrow C$  of objects under  $\varepsilon$ ,

$$G_\varepsilon(i_x) = m_x \quad \text{and} \quad G_\varepsilon(c_x) = F(x).$$

If  $K = \text{Set}$ , this  $F'_\varepsilon$  becomes the quotient of the sum of  $(F_e)_{y:\varepsilon \rightarrow e}$  by the equivalence generated by the relations:  $(y, sx) \sim (xy, s)$  for each  $s$  in  $F_x$ .

## 1.5. LOCALLY CONVEX SEMI-SHEAVES



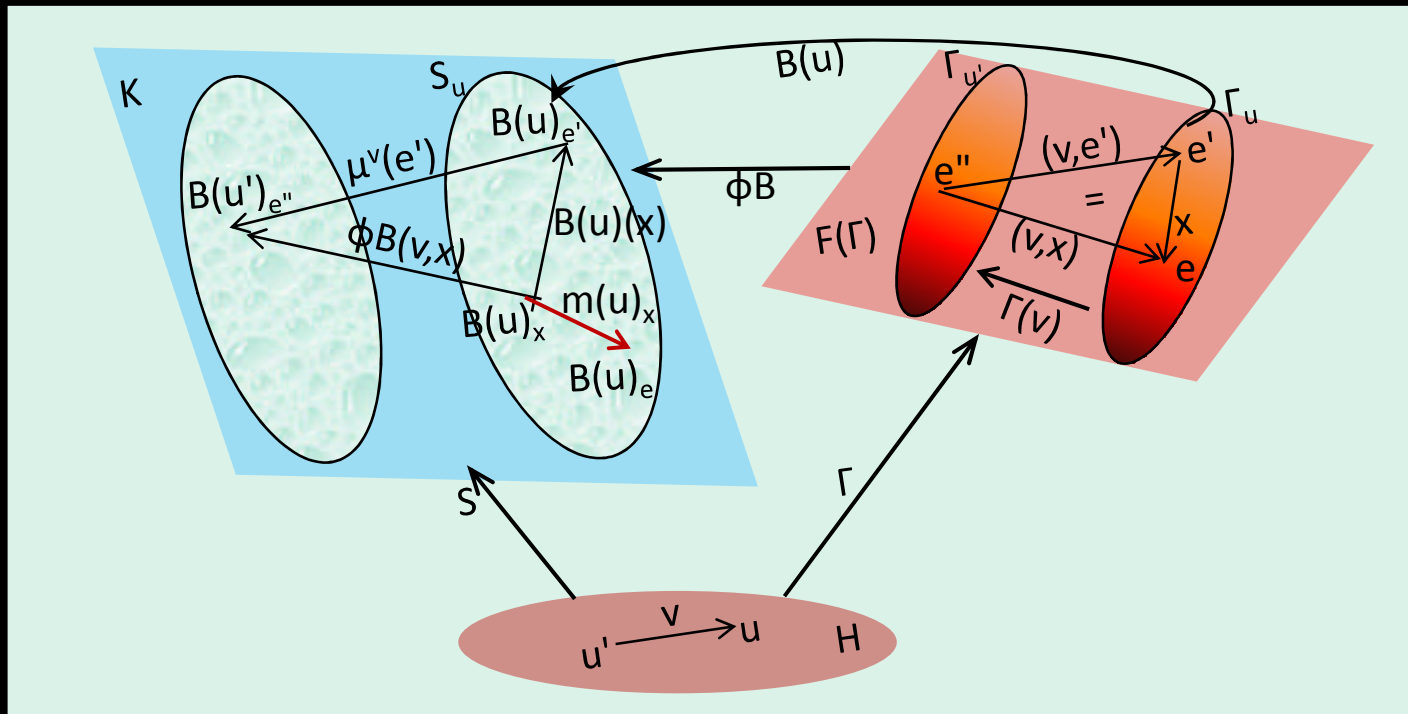
If  $K$  is the category  $Lcs$  of locally convex spaces and  $M_V$  the class of insertions of a vector subspace with a finer topology, an  $(Lcs, M_V)$ -semi-sheaf  $F$  is called a *locally convex semi-sheaf*, and its associated  $Lcs$ -presheaf  $F'$  a *locally convex presheaf*. The fiber  $F'_\varepsilon$  of  $F'$  on  $\varepsilon$  (colimit of  $G_\varepsilon$ ) is the  $Lcs$  space quotient of the locally convex direct sum of the family of  $Lcs$  spaces  $(F_e)_{y:\varepsilon \rightarrow e}$  by the equivalence relation generated by the relations:  $(y', sx) \sim (xy', s)$  where  $s$  is in  $F_x$  and  $x: e' \rightarrow e$  in  $\mathcal{C}$  and  $sx = F(x)(s)$ .



## **PART 2 : DISTRUCTURES**

- 2.1. GENERATOR OF  $(K, M)$ --DISTRUCTURES**
- 2.2. ANOTHER CHARACTERIZATION OF DISTRUCTURES**
- 2.3. LOCALLY CONVEX DISTRUCTURES**
- 2.4. A (PRE)SHEAF OF DISTRUCTURES OVER MONOIDS**

## 2.1. GENERATOR OF (K, M)-DISTRUCTURES

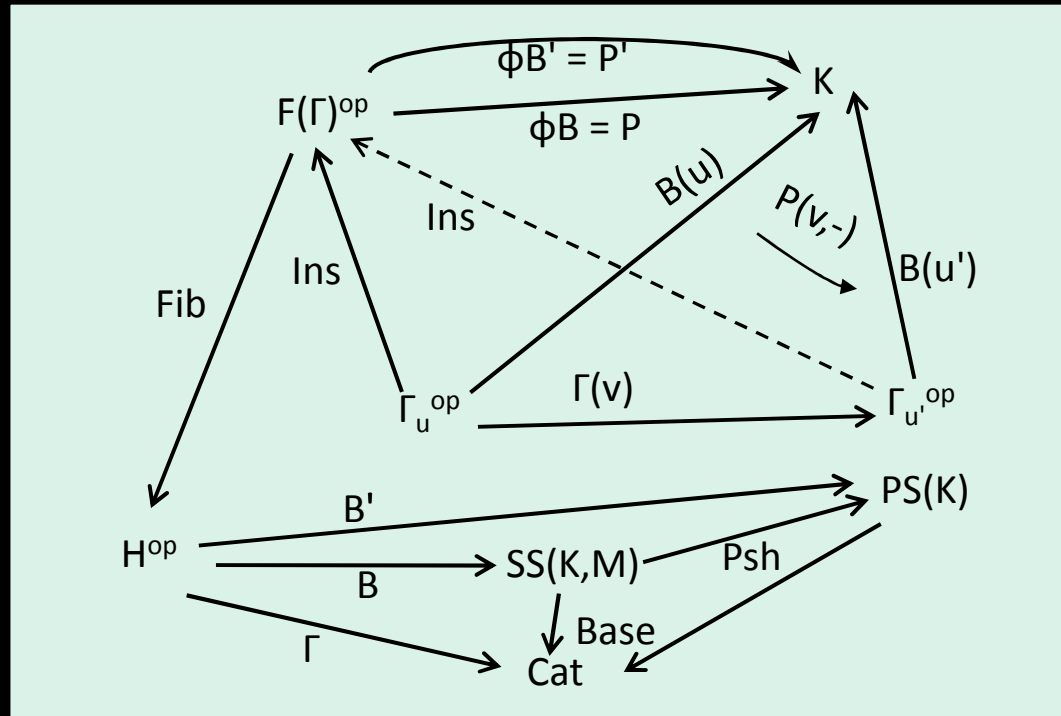


A generator of  $(K, M)$ -distructures on a category  $H$  is a functor  $B$  from  $H^{\text{op}}$  to the category  $\text{SS}(K, M)$  of  $(K, M)$ -semi-sheaves. Thus  $B(u)$ , for an object  $u$  of  $H$ , is a  $(K, M)$ -semi-sheaf on a category  $\Gamma_u$ , and  $B(v)$  is a morphism  $(\Gamma(v), \mu^v): B(u) \rightarrow B(u')$ . Its composite  $B'$  with the associated presheaf functor  $\text{Psh}$  from  $\text{SS}(K, M)$  to  $\text{PS}(K)$  is called the *presheaf of  $K$ -distructures generated by  $B$* .

The  $\Gamma_u$  are the fibers of a presheaf of categories  $\Gamma$  on  $H$ ; let  $F(\Gamma)$  be its associated fibration.  $B$  determines a  $(K, M)$ -semi-sheaf  $\phi B$  on  $F(\Gamma)$  with  $B(u)_e$  as its fiber on  $(u, e)$ ,

$$\phi B_{(v,x)} = B(u)_x \quad \text{and} \quad \phi B(v, x) = \mu^v(e')B(u)(x).$$

## 2.2. ANOTHER CHARACTERISATION OF DISTRICTURES

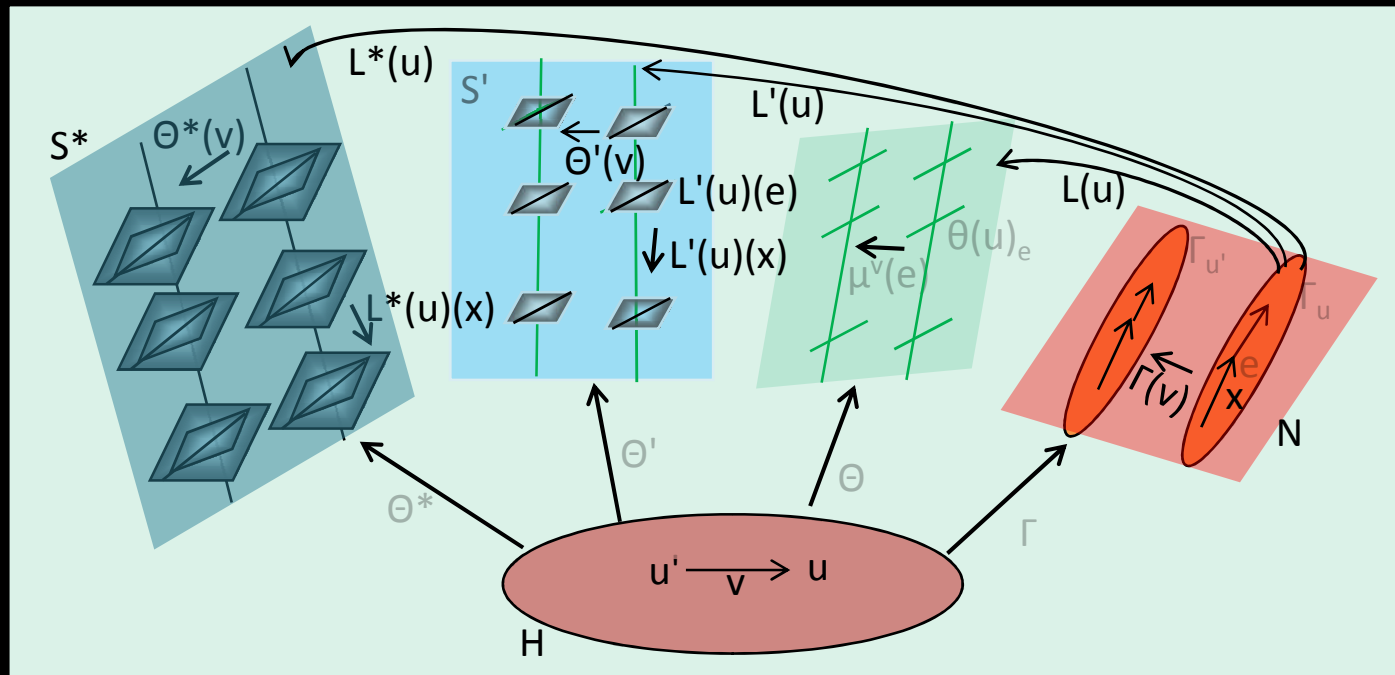


**Theorem.** *There exists a 1-1 correspondance  $\phi$  between the generators  $B$  of  $(K, M)$ -districtures with  $\Gamma$  as their base  $\text{Cat}$ -presheaf and the  $(K, M)$ -semi-sheaves on the fibration  $F(\Gamma)$  associated to  $\Gamma$ . It sends the  $K$ -presheaf  $B'$  generated by  $B$  to the  $K$ -presheaf associated to  $\phi B$ .*

A  $(K, M)$ -semi-sheaf  $P$  on  $F(\Gamma)$  is of the form  $\phi B$  for the generator of  $(K, M)$ -districtures  $B$  such that  $B(u)$  is the composite of  $P$  with the insertion of  $\Gamma_u$  in  $F(\Gamma)$ , and

$$B(v) = (\Gamma(v), P(v, -)) \quad \text{for } v: u' \rightarrow u.$$

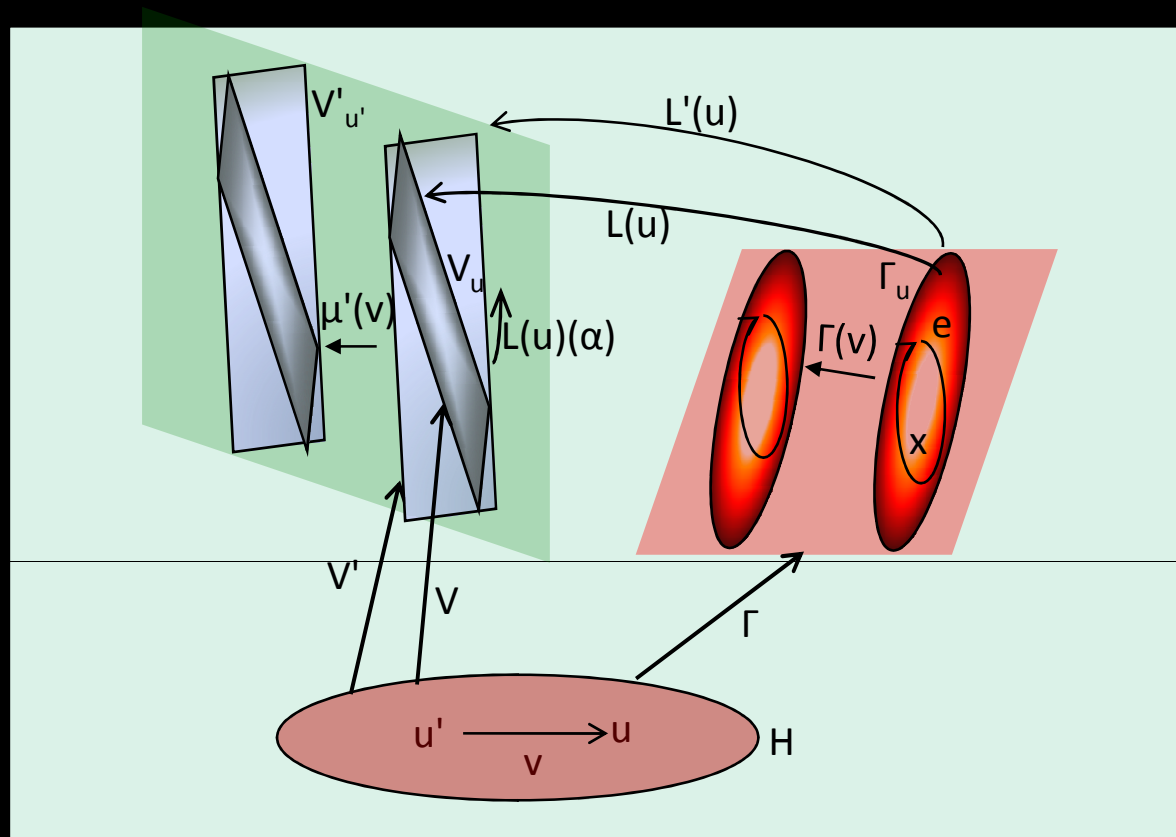
## 2.3. LOCALLY CONVEX DISTRICTURES



A generator of locally convex distructures  $L$ , and its generated presheaf  $L'$ , are obtained in the case  $(K, M) = (Lcs, M_V)$ . Then  $L$  and  $L'$  have underlying Top-presheaves  $\Theta$  and  $\Theta'$ , with  $\Theta_u =$  coproduct of the topologies on the fibers of  $L(u)$ . The figure emphasizes the 'distructure' on the topological sum  $S'$  of the fibers of  $\Theta'$ : the category  $H^{op}$  acts 'horizontally' on it while  $N^{op}$  acts 'vertically', where  $N =$  coproduct of the  $\Gamma_u$ .

If  $H$  is equipped with a topology of Grothendieck  $T$ , and if  $\Gamma$  a sheaf for  $T$ , the *sheaf of distructures generated by  $L$*  (or by  $L'$ ) is the composite  $L^*$  of  $L'$  with the associated Lcs-sheaf functor  $Sh$ . The Top-sheaf  $\Theta^*$  underlying  $L^*$  is the Top-sheaf associated to  $\Theta'$ . The Lcs-presheaf  $\phi L^*$  corresponding to  $L^*$  is a sheaf for the topology on  $F(\Gamma)$  'lifted' from  $T$ .

## 2.4. A (PRE)SHEAF OF DISTRUCTURES OVER MONOIDS



If  $L$  is a generator of locally convex distructures such that the base Cat-presheaf  $\Gamma$  is a sheaf of monoids, then  $L(u)$  has only one fibre  $L(U)_e = V_u$ .

This  $V_u$  is also the fiber of an Lcs-presheaf  $V$  on  $H$  having  $\Theta$  as its underlying Top-presheaf. Thus  $L$  is entirely determined by: a presheaf  $\Gamma$  of monoids, a presheaf  $V$  of locally convex spaces and, for each  $u$ , a semi-action of  $\Gamma_u^{\text{op}}$  on  $V_u$ , these semi-actions being preserved by the change of fibers. Idem for the presheaf  $L'$  and the sheaf  $L^*$  generated by  $L$ .

## **PART 3 : DISTRIBUTIONS**

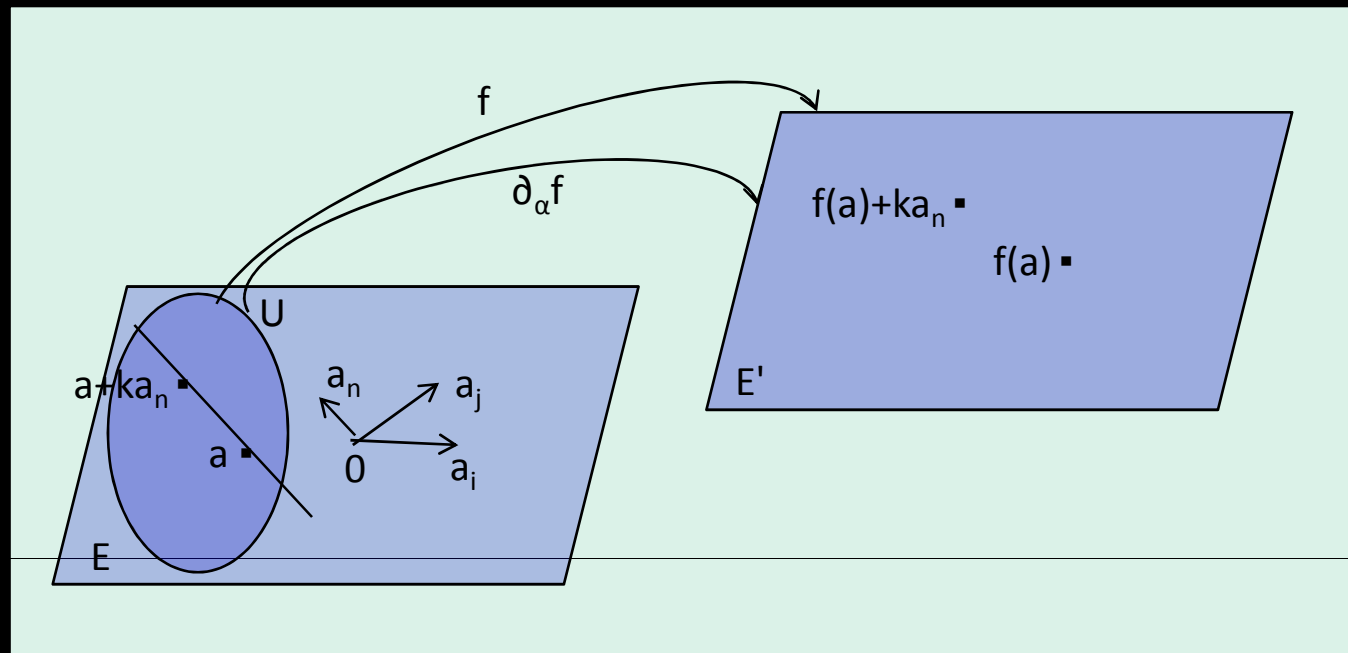
**3.1. PARTIAL DERIVATIVES OF A FUNCTION**

**3.2. GENERATOR OF DISTRIBUTIONS**

**3.3. FINITE ORDER DISTRIBUTIONS**

**3.4. THE SHEAF OF DISTRIBUTIONS**

### 3.1. PARTIAL DERIVATIVES OF A FUNCTION

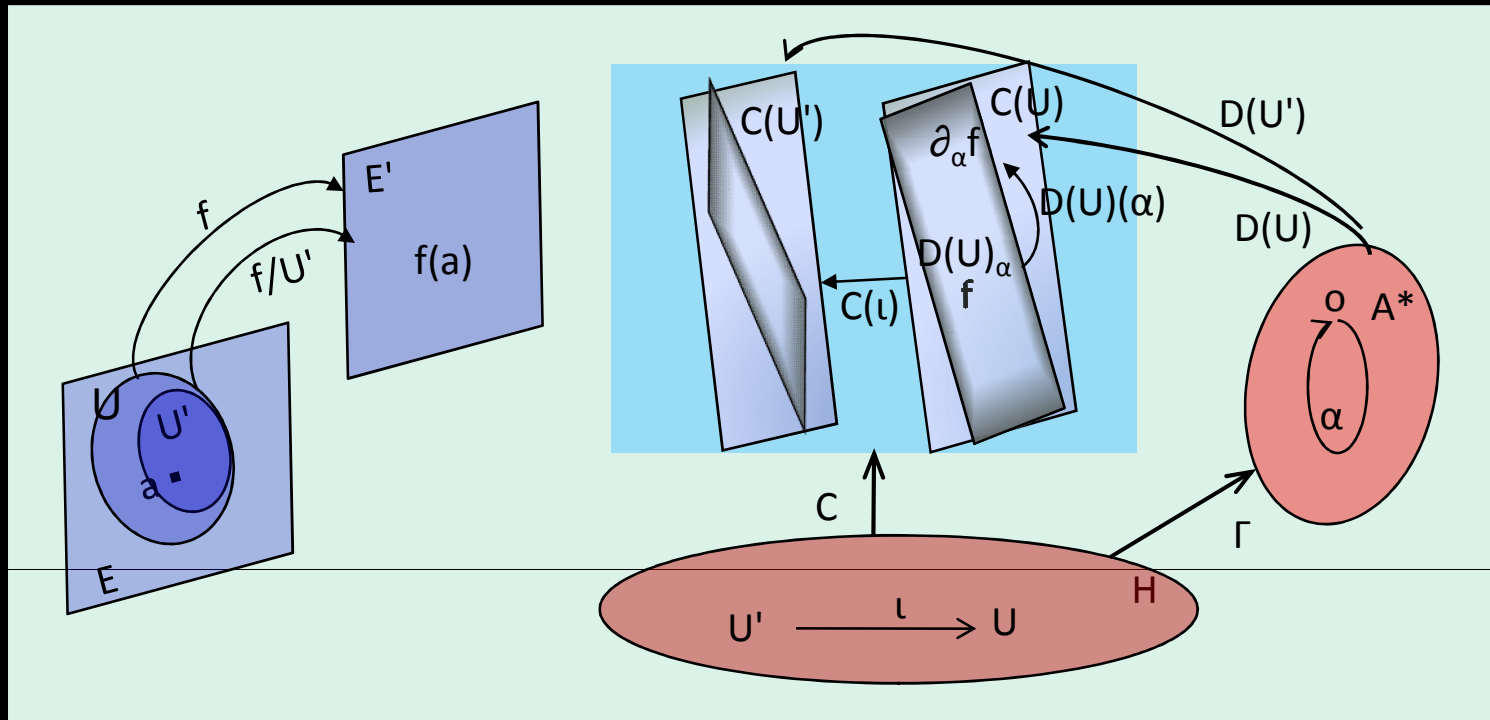


$E$  is a locally convex space and  $A = (a_i)_i$  an algebraic base of  $E$ . We denote by  $A^*$  the free commutative monoid on  $A$  and by  $o$  its unit. An element  $\alpha$  of  $A$  is a finite multiset  $\alpha = \{\alpha_1, \alpha_2, \dots, \alpha_n\}$  of  $n$  (possibly repetitive) elements of  $A$ .

Let  $f$  be a function from an open subset  $U$  of  $E$  to a locally convex space  $E'$ . We say that  $f$  has an  $\alpha$ -derivative  $\partial_\alpha f(a)$  at  $a$  if the restriction of  $f$  to the affine sub-space  $a + \sum_i \mathbf{R}\alpha_i$  is  $n$ -differentiable at  $a$  and admits  $\partial_\alpha f(a)$  for its partial derivative with respect to  $(\alpha_1, \alpha_2, \dots, \alpha_n)$ . (It is independent on the order.)

NB. The following theory of distributions could use another kind of differentiability.

### 3.2. GENERATOR OF DISTRIBUTIONS



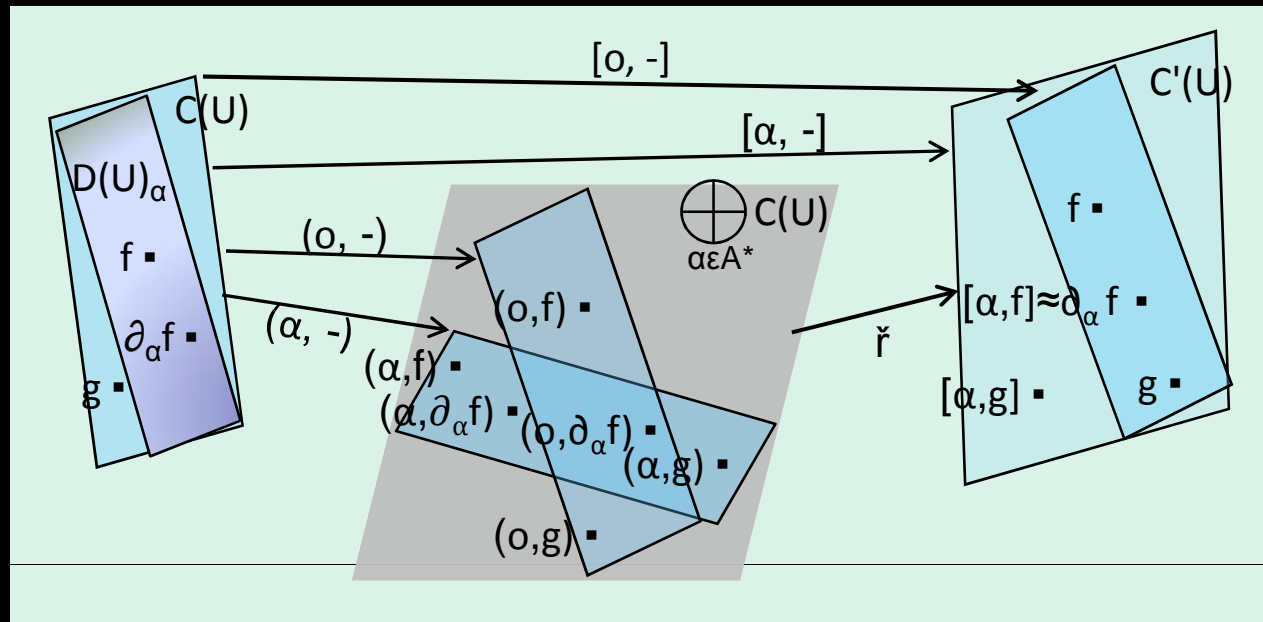
$E$  and  $E'$  are complete metrizable locally convex spaces. A *generator of  $E'$ -valued distributions on  $E$*  is a generator  $D$  of locally convex distriuctures on the category  $H$  of open subsets  $U$  of  $E$  of the following form:

Its base Cat-sheaf  $\Gamma$  is the sheaf constant on the monoid  $A^*$ ; the unique fiber of  $D(U)$  is the lcs  $C(U)$  of continuous maps from  $E$  to  $E'$ , with the compact-open topology;  $D(U)_\alpha$  is the subspace of  $C(U)$  consisting of the functions  $f$  admitting a continuous  $\alpha$ -derivative on  $U$ , with the compact-open topology for  $f$  and all its derivatives up to  $\alpha$ , and  $D(U)(\alpha)(f) = \partial_\alpha f$ .

The underlying Lcs-presheaf  $C$  on  $H$  maps the insertion  $\iota: U' \rightarrow U$  on the restriction map  $g \mapsto g/U'$  from  $C(U)$  to  $C(U')$ .



### 3.3. FINITE ORDER DISTRIBUTIONS



The presheaf  $D'$  generated by the generator of  $E'$ -distributions  $D$  is the *presheaf of  $E'$ -distributions of finite order*. Its fiber  $C'(U)$  at  $U$  is the lcs:  $C'(U) = \bigoplus_{\alpha \in A^*} C(U) / r$ , with  $r$  the equivalence generated by:  $(\alpha', f) \sim (\alpha', \partial_{\alpha'} f)$  if  $f$  is in  $D(U)_{\alpha'}$ .

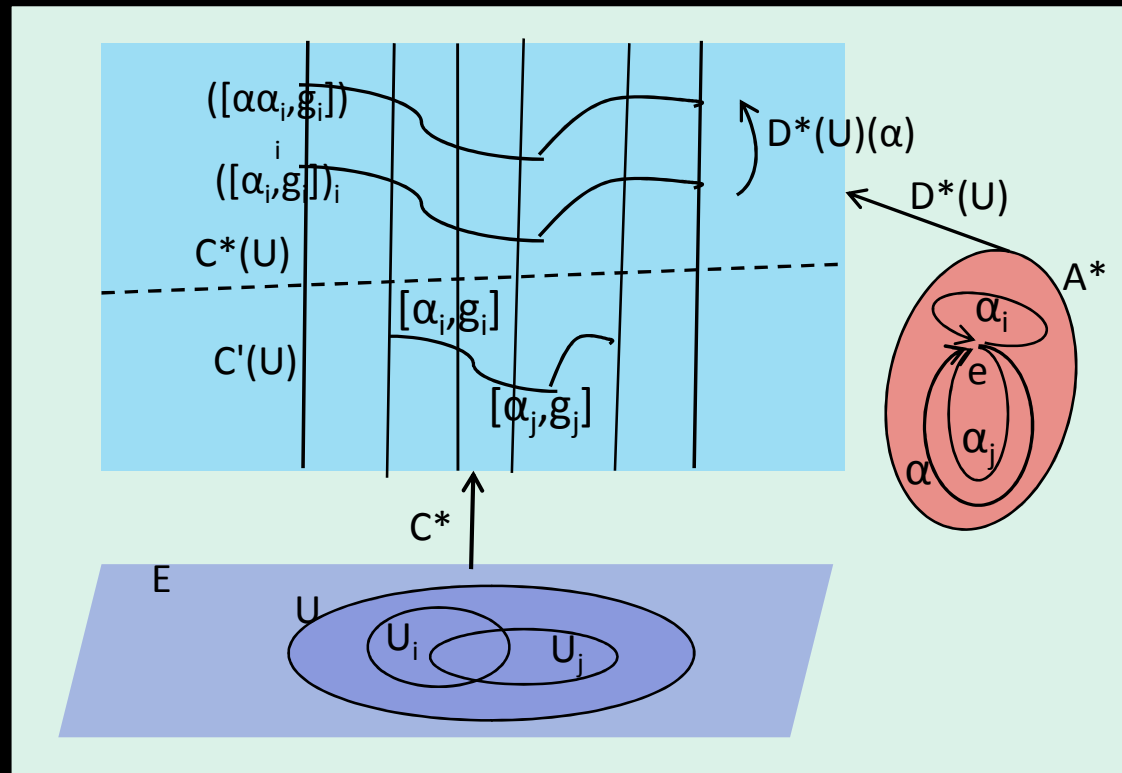
**Theorem.** An element of  $C'(U)$  (or finite order distribution) is an equivalence class  $[\alpha, g]$  for the equivalence on  $A^* \times C(U)$ :  $(\alpha, g) \sim (\alpha', g')$  iff there exist

$\beta, \beta'$  in  $A^*$  and  $g, g'$  such that  $\alpha\beta = \alpha'\beta'$ ,  $g = \partial_{\beta} f$ ,  $g' = \partial_{\beta'} f'$  and  $\partial_{\alpha\beta}(g - g') = 0$ .

The topology on  $C'(U)$  is the final locally convex topology for the maps  $[\alpha, -]: g \mapsto [\alpha, g]$  from  $C(U)$  to  $C'(U)$ . The map  $[o, -]$  identifies  $C(U)$  to a vector subspace of  $C'(U)$ .

[The proof uses that each continuous function is of the form  $\partial_{\alpha} f$  for some  $f$  in  $D(U)_{\alpha}$ .]

### 3.4. THE SHEAF OF DISTRIBUTIONS



The sheaf  $D^*$  associated to  $D'$  is the *sheaf of  $E'$ -distributions* on  $E$ . If  $U$  is the union of  $(U_i)_i$ , an element of its fibre  $C^*(U)$  is a family  $([\alpha_i, g_i])_i$  drawn from  $C'(U)$  such that :  $[\alpha_i, g_i/U_{ij}] = [\alpha_j, g_j/U_{ij}]$  on  $U_{ij} = U_i \cap U_j$ . And  $D^*(U)(\alpha)$  maps  $([\alpha_i, g_i])_i$  on  $([\alpha\alpha_i, g_i])_i$ .

If  $E$  is a finite dimensional space, the  $E'$ -distributions coincide with the Schwartz  $E'$ -valued distributions (via the weak integral  $[\alpha, g]\varphi = (-1)^n [g\partial_\alpha \varphi]$  if  $\alpha$  has  $n$  terms. and  $\varphi$  is a  $C^\infty$  function from  $E$  to  $\mathbf{R}$  with compact support).

## **PART 4 : COMPLEMENTS**

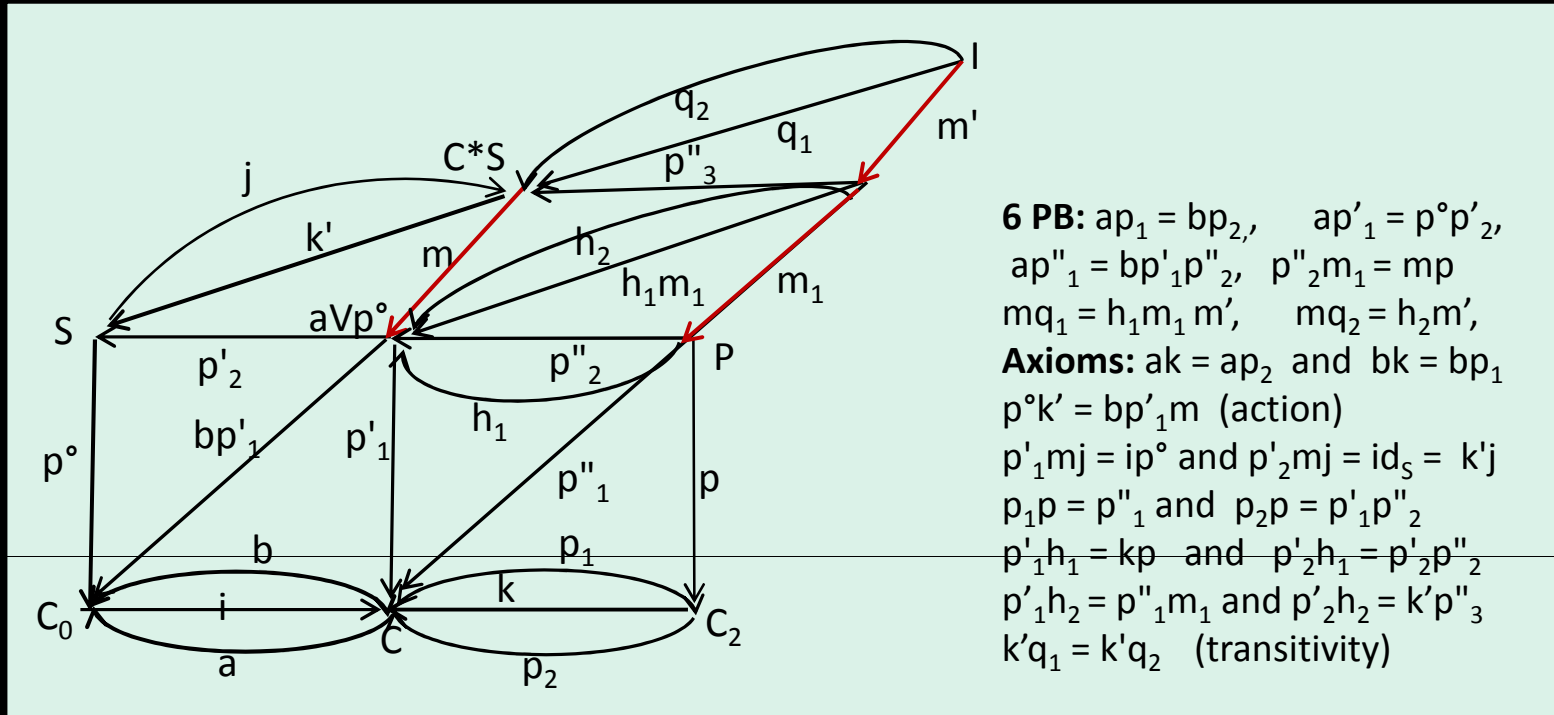
**4.1. INTERNAL SEMI-SHEAVES**

**4.2. CONTROL SYSTEMS**

**4.3. HIERARCHICAL EVOLUTIVE SYSTEMS**

**4.4. THE MODEL MENS FOR COGNITION**

## 4.1. INTERNAL SEMI-SHEAVES

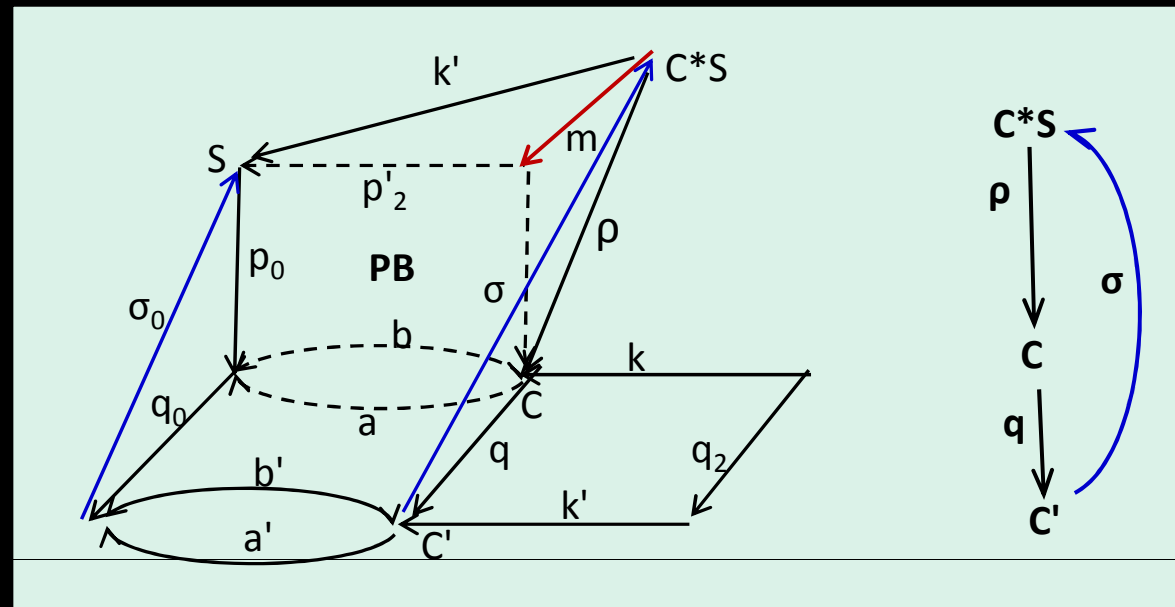


To define internal semi-sheaves, we construct the above sketch of the (equivalent notion of) semi-action  $k': C^*S \rightarrow S$  of a category  $C$  on  $S$ ; the distinguished cones are the 6 PB. A *semi-sheaf internal to*  $(K, M)$  on the category  $C^{op}$  internal to  $K$  is a model  $R$  of this sketch in  $K$  mapping  $m$  in  $M$ ; it defines an *internal semi-action*  $R(k')$  of  $C$  on  $S = R(S)$ , and a *semi-fibration*  $R(p'_1 m): C^*S \rightarrow C$ .

**Theorem.** *If  $K$  admits pullbacks and cokernels stable by pullbacks, the Associated Presheaf Theorem extends to this internal case.*

The internal to  $K$  action associated to  $R$  is an action  $k''$  of  $C$  on the cokernel  $S'$  of an adequate pair  $(R(m), n)$ , with  $k''$  deduced from  $R(h_1)$  via cokernels.

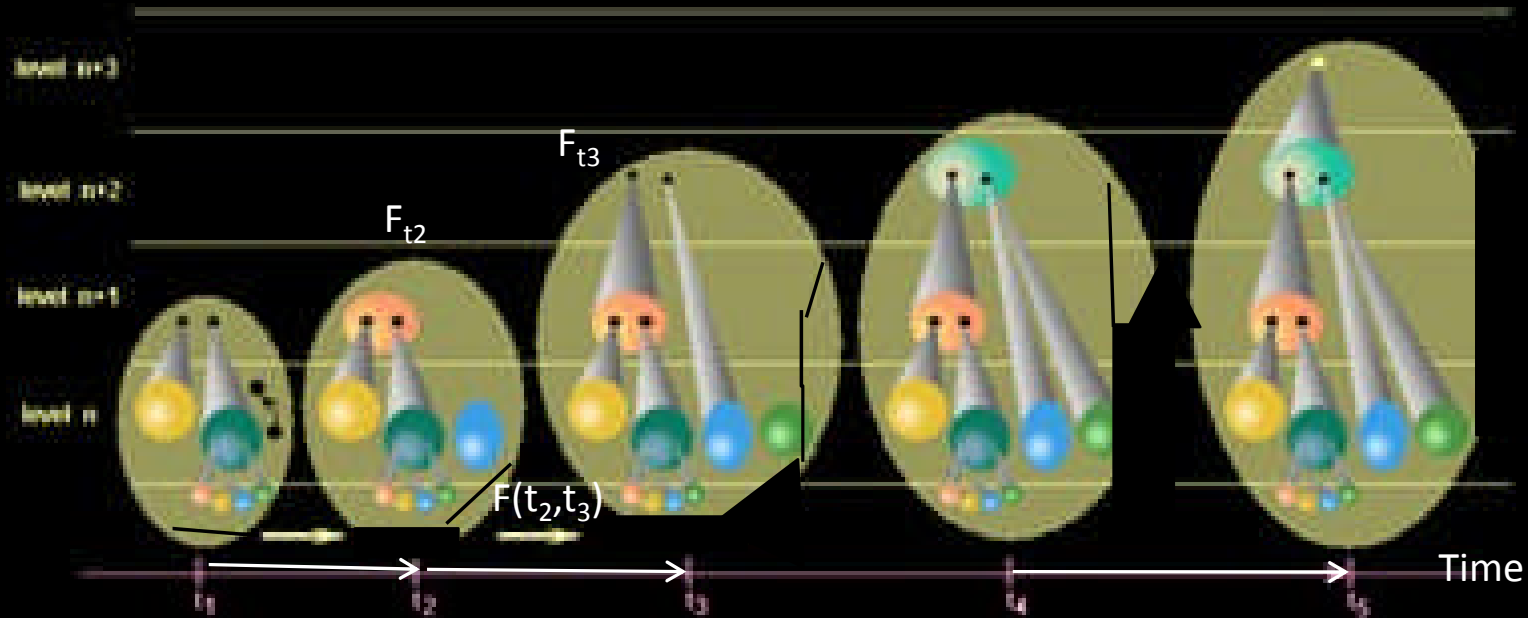
## 4.2. CONTROL SYSTEMS



A semi-action internal to (Top, open ins.) is called a *nucleus of action*; it defines a continuous semi-fibration  $\rho: \mathbf{C}^*\mathbf{S} \rightarrow \mathbf{C}$ . Paired with a continuous functor  $q: \mathbf{C} \rightarrow \mathbf{C}'$  it becomes a *Control System* (1963). A *solution* of this system is a continuous functor  $\sigma: \mathbf{C}' \rightarrow \mathbf{C}^*\mathbf{S}$  which is a section of  $q\rho$ . *Differentiable Control Systems* are obtained by replacing Top by Diff. The primitive example models the solutions of differential equations depending on a parameter.

The main results on Control Systems (1963-66) develop a categorical frame for studying Cauchy boundary problems (with applications to Burmister's equation in elasticity), and for obtaining optimization theorems for solutions of a control system (possibly in terms of distributions), which specify the dynamic programming method of Bellman

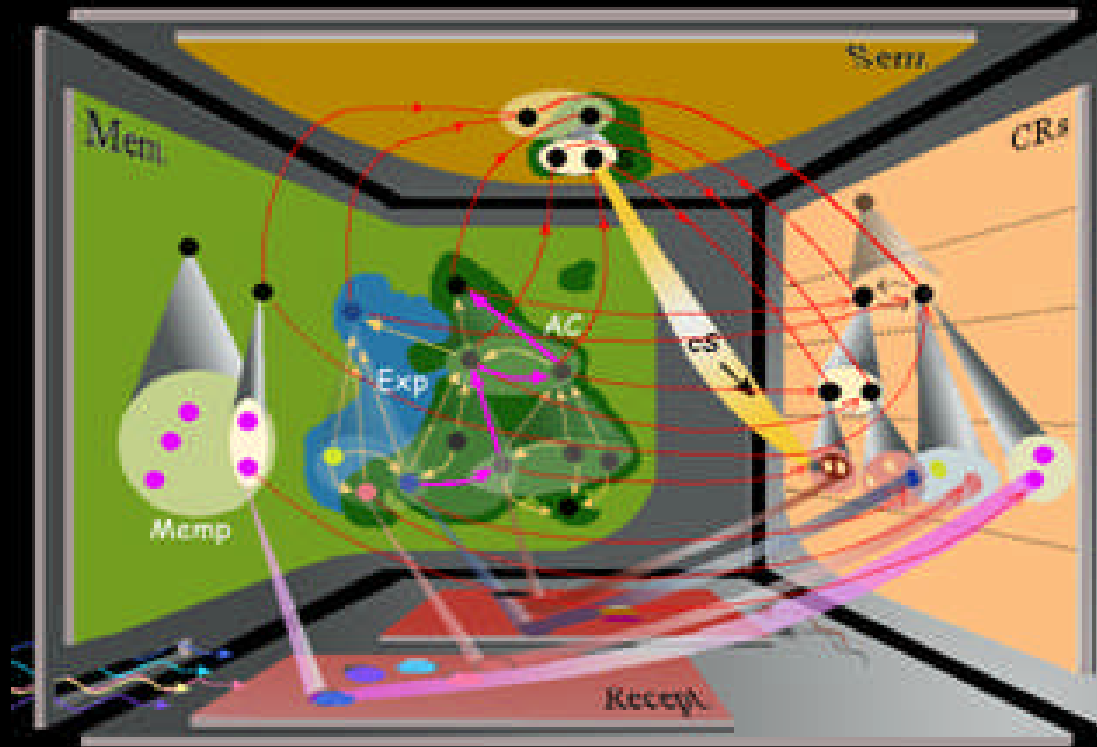
### 4.3. HIERARCHICAL EVOLUTIVE SYSTEMS



The *Memory Evolutive Systems* have been introduced (with J.-P. Vanbremeersch, 1986-2007) to model living systems. An *Evolutive System* is a semi-sheaf  $F$  of categories on the category  $\text{Time}^{\text{op}}$ , where  $\text{Time}$  defines the order on a subset of  $\mathbf{R}_+$ . The fiber  $F_t$  on  $t$  models the components of the system and their relations around  $t$ , and  $F(t_i, t_j)$  the change from  $t_i$  to  $t_j$ . This 'transition' generally corresponds to a completion process (or 'complexification') to add and/or suppress particular limits and/or colimits.

A *hierarchical category* is a category whose objects are partitioned in a finite number of levels so that an object of level  $n$  is the colimit of at least one functor toward the sub-category whose objects are of level  $< n$ . Let  $\text{HCat}$  the category of hierarchical categories with functors preserving the levels. A *Hierarchical Evolutive System* is a  $(\text{HCat}, \text{Ins.})$ -semi-sheaf on  $\text{Time}^{\text{op}}$ ,

## 4.4. THE MODEL MENS FOR COGNITIVE SYSTEMS



The *Memory Evolutive Systems* modeling living systems are HES, with sub-systems, the coregulators CRs and the Mem(ory), allowing for an internal regulation.

From the ES of neurons modelling the neural system of an animal, successive complexifications lead to the model MENS (Memory Evolutive Neural System) of a cognitive system (2001) in which a procedural and a semantic memory Sem develop. We model the formation of an interconnected personal memory, the *archetypal core* AC and the process by which it allows the formation of an internal global landscape at the basis of conscious processes.

For more details on MES,

